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| Form PTO-1449 (modified) | | Atty. Docket No. PKT-P1-06 | Serial No. 10/575,995 |
| List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT | | Applicant: Greg H. Crocker | |
| | | Filing Date: May 11, 2007 | Group: 3691 |
| (Use several sheets if necessary) | | | |
| U.S. Patent Documents <i>See Page 1</i> | | Foreign Patent Documents <i>See Page 1</i> | Other Art <i>See Page 1</i> |

U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date if App. |
|-------------|-----------|-----------------|---------------|-------------------|-------|-----------|---------------------|
| | A1 | 6,173,270 | Jan. 9, 2001 | Cristofich et al. | 705 | 37 | Sept. 23, 1997 |
| | A2 | 7,472,089 | Dec. 30, 2008 | Hu et al. | 705 | 38 | Aug. 15, 2002 |

Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|------|---------|-------|-----------|--------------------|
| | B1 | | | | | | |
| | B2 | | | | | | |

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|---|
| | C1 | "A Consumer's Guide to Mortgage Lock-Ins." Mortgage-X. http://www.mortgage-x.com/brochure/lock-ins.htm , as viewed on www.archive.org from February 4, 2003. |
| | C2 | Amendment and Response for Serial No. 10/867,520, filed on September 8, 2009. Pgs. 1-10. |
| | C3 | Office Action-Non Final Rejection for Serial No. 10/867,520, mailed on March 6, 2009. Pgs. 1-23. |
| | C4 | Amendment and Response for Serial No. 10/867,520, filed on December 2, 2008. Pgs. 1-9. |
| | C5 | Office Action-Restriction Requirement for Serial No. 10/867,520, mailed on June 2, 2008. Pgs. 1-8. |
| | C6 | PCT International Search Report for PCT/US05/20784, filed June 13, 2005. Pgs. 1-3. |
| | C7 | PCT Written Opinion of the International Searching Authority for PCT/US05/20784, filed June 13, 2005. Pgs. 1-4. |

EXAMINER:**DATE CONSIDERED:**

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

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